

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of  
Richard Alan Dayan et al.

Serial Number: 09/689,460

Filed: 10/12/2000

Title: Method and System for Providing  
Secure Communications Utilizing  
Specified Content-Embedded Digital  
Certificates



Date: January 25, 2001  
Group Art Unit: Not Assigned

Examiner: Not Assigned

IBM CORPORATION  
Personal Systems Group  
Intellectual Property Law  
Dept. 9CCA/002-2  
P. O. Box 12195  
Research Triangle Park, NC 27709-2195

Docket No. RPS9 2000 0066

**TRANSMITTAL OF MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
ATTN: Application Division, Special Handling Unit  
Washington, D. C. 20231

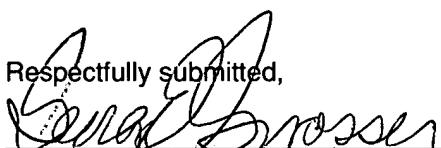
Dear Sir:

In response to the Notice to File Missing Parts of Application mailed December 8, 2000, please find enclosed the following with the appropriate fees:

1. DECLARATION along with the payment of a surcharge of \$130.00 for a large entity.
2. A copy of the NOTICE TO FILE MISSING PARTS OF APPLICATION, FILING DATE GRANTED.

Charge my Deposit Account No. 09 -1990 in the amount of \$ 130.00. The Commissioner is hereby authorized to charge payments of (1) any additional filing fees required under 37 CFR 1.16, and/or (2) any patent application processing fees under 37 CFR 1.17 associated with this application or credit any overpayment to Deposit Account No. 09 -1990. A duplicate copy of this sheet is enclosed.

By:

Respectfully submitted,  
  
George E. Grosser  
Registration No. 25,629  
Telephone No.: (919) 254-4753

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

ASSISTANT COMMISSIONER OF PATENTS  
WASHINGTON, DC 20231

on 1-25-01

Date of Deposit

Ira Richardson

Print Name



Signature



## UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 1  
*H. Seeger*  
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/689,460	10/12/2000	Richard Alan Dayan et al	RPS920000066

IBM Corporation  
Personal Systems Group Legal Dept  
Dept 9CCA/Bldg 002-2  
PO Box 12195  
Research Triangle Park, NC 27709

## FORMALITIES LETTER



\*OC000000005606280\*

Date Mailed: 12/08/2000

*due: 02/08/01*

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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DEC 11 2001  
LEGACY COMPANY  
DEPARTMENT  
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